



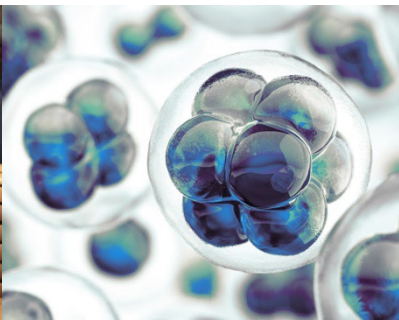
Europäisches
Patentamt
European
Patent Office
Office européen
des brevets

EP Register for Unitary Patent

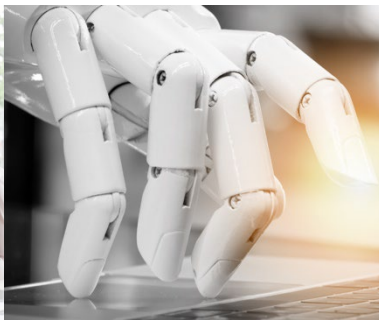
Part 2: ST.36 xml data layout



Klaus Baumeister



Publication Expert



8 June 2022

ST.36 for EP Register

- Website

The screenshot shows the European Patent Register website interface. At the top, there is a header with the EPO logo and name in three languages: 'Europäisches Patentamt', 'European Patent Office', and 'Office européen des brevets'. To the right, there are language options for 'Deutsch', 'English', and 'Français', along with a 'Contact' link. Below the header is a navigation bar with 'About European Patent Register' and 'Other EPO online services'. A 'Register Alert (email alerts)' button is also present. The main content area shows search results for 'EP2405679'. On the left, there is a sidebar menu with options like 'European procedure', 'EP About this file', 'EP Legal status', etc. The main content area displays the title 'UP About this file: EP2405679 C0' and a list of actions: 'Refine search', 'ST36' (circled in red), 'Espacenet', 'Submit observations', and 'Report error'. Below this, the title of the patent is shown: 'EP2405679 - Method of handling capability information of a mobile device and related communication device'. The status is 'unitary effect lapsed' with a date of '05.05.2022'. The most recent event is 'Unitary effect lapsed' on '22.06.2017'. The proprietor is 'HTC Corporation' and the inventor is 'Wu, Chih-Hsiang'.

- Bulk data product

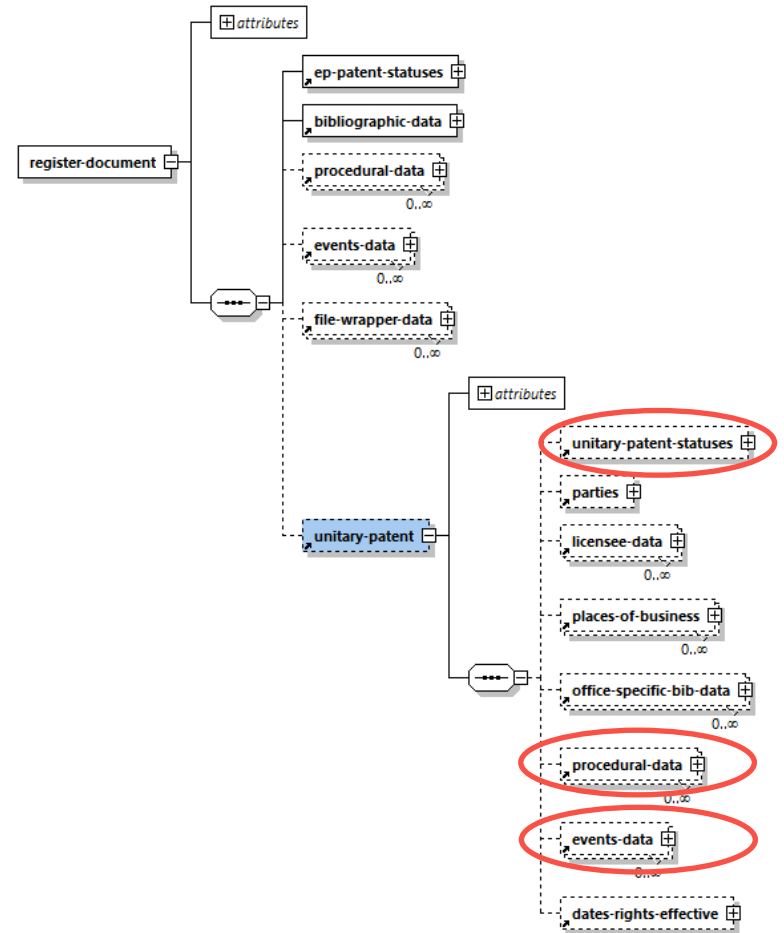
ST.36 data layout defined by DTD

```
▼<register-document xmlns="http://www.epo.org/register" date-produced="20220510" dtd-version="1.3.3" lang="en" produced-by="R0" status="Request for examination was made">
  ▼<ep-patent-statuses>
    <ep-patent-status change-date="20140411" status-code="15">Request for examination was made</ep-patent-status>
  </ep-patent-statuses>
  ▼<bibliographic-data country="EP" id="EP14154101P" lang="en" status="Request for examination was made">
    ▼<publication-reference change-gazette-num="2014/20">
      ▼<document-id lang="en">
        <country>EP</country>
        <doc-number>2730406</doc-number>
        <kind>A1</kind>
        <date>20140514</date>
      </document-id>
    </publication-reference>
  </bibliographic-data>
</register-document>
```

register-document dtd

- XML element <unitary-patent>

Request for unitary patent protection
Decision on unitary patent protection
Lapse of unitary patent protection



Request for unitary patent protection

```
<unitary-patent-status status-code="6">Request for unitary effect filed</unitary-patent-status>
```

```
<procedural-step-code>UDFI</procedural-step-code>
```

```
<event-code>0009700UREQ01</event-code>
```

```
<unitary-patent>
  <unitary-patent-statuses>
    <unitary-patent-status change-date="20220421" status-code="6">Request for unitary effect filed</unitary-patent-status>
  </unitary-patent-statuses>
  <procedural-data>
    <procedural-step id="STEP_UP_UDFI_1" procedure-step-phase="unitary-protection">
      <procedural-step-code>UDFI</procedural-step-code>
      <procedural-step-text step-text-type="STEP_DESCRIPTION">The request for unitary effects </procedural-step-text>
      <procedural-step-date step-date-type="DATE_OF_REQUEST">
        <date>20211110</date>
      </procedural-step-date>
      <gazette-reference>
        <gazette-num>2022/18</gazette-num>
        <date/>
      </gazette-reference>
    </procedural-step>
  </procedural-data>
  <events-data>
    <dossier-event event-type="new" id="EVT_UP_2">
      <event-date>
        <date>20220428</date>
      </event-date>
      <event-code>0009700UREQ01</event-code>
      <event-text event-text-type="DESCRIPTION">Filing of request for unitary effect</event-text>
      <gazette-reference>
        <gazette-num>2022/18</gazette-num>
        <date>20220504</date>
      </gazette-reference>
    </dossier-event>
  </events-data>
</unitary-patent>
```

Request UPP

Positive decision on request for UPP

```
<unitary-patent-status status-code="9">Unitary effect registered</unitary-patent-status>
```

```
<procedural-step-code>UDEC</procedural-step-code>
```

```
<procedural-step-result>Positive</procedural-step-result>
```

```
<event-code>0009701UREQ02</event-code>
```

```
<unitary-patent>
  <unitary-patent-statuses>
    <unitary-patent-status status-code="9">Unitary effect registered</unitary-patent-status>
  </unitary-patent-statuses>
  <parties>...</parties>
  <places-of-business change-date="20160718" change-gazette-num="2016/29">...</places-of-business>
  <procedural-data>
    <procedural-step id="STEP_UP_UDFI_3" procedure-step-phase="unitary-protection">...</procedural-step>
    <procedural-step id="STEP_UP_UDEC_4" procedure-step-phase="unitary-protection">
      <procedural-step-code>UDEC</procedural-step-code>
      <procedural-step-text step-text-type="STEP_DESCRIPTION">Date and purport of the decision on the registration of unitary effect </procedural-step-text>
      <procedural-step-date step-date-type="DATE_OF_DECISION">
        <date>20140507</date>
      </procedural-step-date>
      <procedural-step-result>Positive</procedural-step-result>
      <gazette-reference>
        <gazette-num>2016/29</gazette-num>
        <date/>
      </gazette-reference>
    </procedural-step>
    <procedural-step id="STEP_UP_UREG_5" procedure-step-phase="unitary-protection">...</procedural-step>
  </procedural-data>
  <events-data>
    <dossier-event event-type="new" id="EVT_UP_6">
      <event-date>
        <date>20160718</date>
      </event-date>
      <event-code>0009701UREQ02</event-code>
      <event-text event-text-type="DESCRIPTION">Decision on the request for unitary effect</event-text>
      <gazette-reference>
        <gazette-num>2016/29</gazette-num>
        <date>20160720</date>
      </gazette-reference>
    </dossier-event>
  </events-data>
  <events-data>...</events-data>
</unitary-patent>
```

Decision UPP

Negative decision on request for UPP

```
<unitary-patent-status status-code="5">Request for unitary effect rejected</unitary-patent-status>
```

```
<procedural-step-code>UDEC</procedural-step-code>
```

```
<procedural-step-result>Negative</procedural-step-result>
```

```
<event-code>0009701UREQ02</event-code>
```

Lapse of European patent with unitary effect

```
<unitary-patent-status status-code="7">unitary effect lapsed</unitary-patent-status>
```

```
<procedural-step-code>LAPS</procedural-step-code>
```

```
<event-code>0009705LAPS22</event-code>
```

```

<unitary-patent>
  <unitary-patent-statuses>
    <unitary-patent-status status-code="7">unitary effect lapsed</unitary-patent-status>
  </unitary-patent-statuses>
  <parties>...</parties>
  <procedural-data>
    <procedural-step id="STEP_UP_UDFI_12" procedure-step-phase="unitary-protection">...</procedural-step>
    <procedural-step id="STEP_UP_UDEC_13" procedure-step-phase="unitary-protection">...</procedural-step>
    <procedural-step id="STEP_UP_UREG_14" procedure-step-phase="unitary-protection">...</procedural-step>
    <procedural-step id="STEP_UP_LAPS_15" procedure-step-phase="unitary-protection">
      <procedural-step-code>LAPS</procedural-step-code>
      <procedural-step-text step-text-type="STEP_DESCRIPTION">Date of legal effect of LAPSE</procedural-step-text>
      <procedural-step-text step-text-type="KIND_CODE">01</procedural-step-text>
      <procedural-step-date step-date-type="DATE_EFFECTIVE">
        <date>20160731</date>
      </procedural-step-date>
      <gazette-reference>
        <gazette-num>2017/25</gazette-num>
        <date/>
      </gazette-reference>
    </procedural-step>
  </procedural-data>
  <events-data>
    <dossier-event event-type="new" id="EVT_UP_16">
      <event-date>
        <date>20170622</date>
      </event-date>
      <event-code>0009705LAPS22</event-code>
      <event-text event-text-type="DESCRIPTION">Unitary effect lapsed</event-text>
      <gazette-reference>
        <gazette-num>2017/25</gazette-num>
        <date>20170622</date>
      </gazette-reference>
    </dossier-event>
  </events-data>
</unitary-patent>

```

Lapse UPP



Search

Website

Patents

Home

Searching for patents

Applying for a patent

Law & practice

News & events

Home > Searching for patents > Legal information > European Patent Register > Register documentation

European Patent Register

Release notes

Register documentation

Deep link data coverage

Federated Register

Register events

FAQ

European Patent Bulletin

EPO Boards of appeal decisions data: ECLI sitemap

Searching Asian documents

Third-party observations

Register documentation

Document	Size	Date	Download
User guides			
The Federated Patent Register	959 KB	May 2019	Download
Global Dossier – file wrappers from the world's largest patent offices all in one place	1.1 MB	October 2016	Download
Technical information			
Description of Register data in ST.36-compatible XML structure	751 KB	June 2021	Download
Additional DTD for multiple cases of Register XML data in ST.36	1 KB	June 2021	Download
DTD for Register XML data in ST.36	39 KB	February 2021	Download



Thank you for your attention

kbaumeister@epo.org